

05-14-01

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Dated: May 11, 2001

By:

Patricia Muñoz

Patricia Muñoz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

**PATENT
APPLICATION**

RULE 53(f) NO DECLARATION

Hon. Commissioner for Patents
Washington, DC 20231

Atty. Dkt.

59502-272590

C/M#

Client Ref

Sir:

Date:

May 11, 2001

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title:

XML-ENABLED CONTROL AND MONITORING METHOD AND APPARATUS

Without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 Page(s).

4. 17 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 17 Numbered claim(s); and

7. ☒ Drawings: 8 Sheet(s) ☒ 1 set informal; 8. ☐ formal of size: ☐ A4 ☐ 11"

9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		<input type="checkbox"/> See 3 rd page for additional priorities	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date)
in U.S. Application No. / filed on

12. ☐ This is a reissue of Patent No.

13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)

14. ☐ **Amend the specification** by inserting before the first line -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:

14(a) ☐ National Appln. No. / filed -- (M#)

14(b) ☐ International Appln. No. PCT/ filed Which
Designated the U.S., and that International Application ☐ was ☐ was not published
under PCT Article 21(2) in English.--

15. ☐ **Amend the specification** by inserting before the first line: --This application
claims the benefit of U.S. Provisional Application No. 60/ , filed --

JC971 U.S. PTO
09/854186
05/11/01

05/11/01
JC971 U.S. PTO

09854186 051101

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed
17. ☐ Small Entity Status is claimed (**pre-filing confirmation required**)
- 17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement **not essential** to make claim)
- 17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)
- ☐ Prior application is assigned to
18. By Assignment recorded _____ Reel _____ Frame _____

19. This application is made by the following named inventor(s) (**Double check instructions for accuracy.**):
(Listing of inventor(s) not a requirement, but list if known)

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20. NOTE: FOR ADDITIONAL INVENTORS, check box ☐
and attach sheet with same information regarding additional inventors.

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NOTE: File in duplicate with post card receipt (PAT-103) & attachments